

08/20/99  
 10690 U.S. PTO

Please type a plus sign (+) inside this box → ☒

Approved for use through: 09/30/2000. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **RCA 89417**

First Inventor or Application Identifier **Shu Lin, et al.**

Title **A Digital Video Processing and ...**

Express Mail Label No. **EL278372742US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages **13**]  
 (preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Microfiche Appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
- ☒ Drawings(s) (35 U.S.C. 113) [Total Sheets **9**]
- Oath or Declaration [Total Pages **1**]  
 a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 16 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* **NOTE: PORTIONS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. 41.20).**

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 a. ☐ Computer Readable Copy  
 b. ☐ Paper Copy (identical to computer copy)  
 c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) ☒
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations **4**]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
- ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application.  
 (PTO/SB/09-12) Status still proper and desired
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name	Joseph S. Tripoli			
	Thomson Multimedia Licensing Inc.			
Address	Patent Operation			
	Two Independence Way, P. O. Box 5312			
City	Princeton	State	NJ	Zip Code 08543-5312
Country	USA	Telephone	609/734-9503	Fax 609/734-9700

Name (Print/Type)	Alexander J. Byrke	Registration No. (Attorney/Agent)	40,425
Signature	<i>Alexander Byrke</i>	Date	20 August 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## 5 A Digital Video Processing and Storage System for Video, Audio and Ancillary Data

### *Field of the Invention*

- 10 This invention is related to the field of digital signal processing, recording and retrieval of video and audio data for storage or display applications, for example.

### *Background of the Invention*

- 15 In digital video processing and storage applications, packetized video data is typically encoded with ancillary information for use in locating, decoding and navigating through encoded image data. In a Digital Video Disk (DVD) type application, ancillary data in the form of volume/file structure data and navigation data  
20 contains information for use in locating data and navigating through different image sequences. Volume/file structure information uses one or more file directories in defining file structures for use in locating and recovering data from a storage medium, for example. Navigation data additionally locates and links sections of video/audio program data for use in navigating through image sequence in different modes of  
25 operation, for example. In normal program play mode a first sequence of images is located, linked and processed for play by a DVD player and in another mode a different sequence of images is processed to provide a different scene or camera angle, for example. However, such ancillary file structure and navigation information may be encoded in different data formats in accordance with different encoding standards and  
30 applications. A program encoded with file structure and navigation data according to one standard for read-only DVD applications may be incompatible with the navigation data structure and content requirements of a different standard such as a recordable DVD format, for example. As a result a recordable format DVD disk may not be playable by a read-only DVD player or a program encoded for read-only DVD format  
35 may not be directly stored by a recordable DVD player, and vice versa.

- In addition, the content and format of existing file structure and navigation data structures for DVD and other applications are limited and do not adequately support advanced decoder navigation features for multimedia and other applications. Advanced decoder navigation features include, for example, trick play  
40 operation (such as reverse, fast forward, freeze-frame etc.), image manipulation (involving linking images out of sequence and from different programs), and navigation in a multi-windowed composite image display containing different video

5 programs or other multimedia application images. Such multimedia picture-in-picture (PIP) type images may comprise, for example, Internet web pages, electronic program guides, Email, telephone, fax, video-phone, home appliance control images as well as video program images. These deficiencies and derivative deficiencies are addressed by a system according to the present invention. Specifically, a system according to the  
10 invention addresses the problems involved in generating, processing and formatting ancillary volume/file structure and navigation data to be compatible with different data encoding standards and to support advanced multimedia navigation features.

### SUMMARY OF THE INVENTION

15

A video processing system adaptively generates and processes volume/file structure and navigation data of different data format and converts data between different formats for decoding, recording and other applications. The system provides navigation information supporting navigation through different images of one  
20 or more video programs by parsing encoded packetized data representative of a sequence of individual images to determine parameters to support navigation through the sequence of individual images. The determined parameters are formatted into a predetermined data structure and incorporated into a pre-formed navigation data field.

25

#### *Brief Description of the Drawings*

In the drawing:

Figure 1 shows an exemplary top level generic DVD data format  
30 compatible with recordable and read-only DVD players, according to the invention.

Figure 2 shows a read-only DVD data format indicating volume/file structure and video program navigation information.

35

Figures 3A and 3B show a table listing read-only DVD format data structure items (indicated in Figure 2) that are processed in pre-processing, contemporaneous and post-processing modes for incorporation in the generic DVD data format of Figure 1, according to the invention.

40

Figure 4 shows an exemplary Title Search Pointer Data element of a generic DVD data format for inclusion of data type alteration information, according to the invention.

5

Figure 5 depicts an exemplary recorded Video Object Unit (VOBU) including navigation, video, audio and sub-picture data.

Figure 6 depicts an Error Correction Coded (ECC) block within a  
10 Video Object Unit (VOBU).

15

Figure 7 shows a flowchart for a method for providing volume/file structure and navigation data compatible with different data formats, according to the invention.

20

Figure 8 shows a flowchart for a method for converting image representative digital video data in a read only data format to a different generic data format compatible with read-only and recordable formats, according to the invention.

25

Figure 9 shows a video decoder and recorder system, according to the invention, for adaptively generating and processing volume/file structure and navigation data for different data formats and for converting data between the formats.

Figure 10 shows an example of activation of buttons in a pre-formed  
menu, according to the invention.

### DETAILED DESCRIPTION

A video processing system advantageously adaptively generates and  
30 processes volume/file structure and navigation data of different data format and converts data between different formats for decoding, recording and other applications. The principles of the invention may be applied to processing and storage of terrestrial, cable, satellite, Internet or computer network broadcast data. Further, although the disclosed system is described as processing video programs, this is  
35 exemplary only. The term 'program' is used to represent any form of packetized data such as audio data, telephone messages, computer programs, Internet web pages or other communications, for example.

The processing system is discussed in the context of processing and converting data of different data format, specifically in processing and converting  
40 between recordable and read-only formats for a DVD type application and vice versa. However, this is exemplary only, the principles of the invention may also be applied to the processing and conversion of other data formats. Other formats may include, for

- 5 example, proprietary and custom formats, MPEG format (including data encoded to the MPEG-4 or MPEG-7 standards) and non-MPEG compatible formats. Note, the read-only DVD data format employs a sub-set of the MPEG2 format and is defined by a proprietary standard adopted by a consortium of consumer electronics companies and described in available literature such as "DVD Demystified-The Guidebook of  
10 DVD-Video and DVD-ROM", 1997, by Jim Taylor published by McGraw Hill. Further, MPEG2 compatible data is encoded in accordance with the "MPEG standard" comprised of a system encoding section (ISO/IEC 13818-1, 10th June 1994) and a video encoding section (ISO/IEC 13818-2, 20th January 1995).

- A program encoded with file structure and navigation data according to  
15 a recordable DVD standard may be incompatible with the file structure and navigation data requirements of a program encoded to a different standard such as a read-only DVD format, for example. As a result a recordable DVD disk may not be playable by a read-only DVD player or a program encoded for read-only DVD format may not be directly stored by a recordable DVD player, and vice versa. Such data format  
20 incompatibility may arise between other different data formats. Data format incompatibility may also arise between data encoded in different versions of a single format such as between different versions of a recordable DVD format. The resulting lack of backward compatibility means a recorded disk may not be playable on different generations of player, for example.

- 25 A processing system, according to the invention, adaptively generates and processes file structure and navigation data of different data format. The processing system also converts file structure and navigation data between different formats for decoding, recording and other applications. In a specific embodiment, a processing system encodes a video program into a generic data format (as exemplified  
30 in Figure 1) that is compatible with recordable and read-only video processing devices.

- A number of difficulties are involved in processing a program in a recordable data format for play by a read-only format player. Specifically, a program encoded in a read-only format (as exemplified by Figure 2) contains file structure and navigation data to support several navigation features. Such features include, the  
35 location of individual programs in a set of programs, the navigation through different image sequences to provide different scenes or camera angles, and trick play operation (such as reverse, fast forward, freeze-frame etc.) for example. The read-only format file structure and navigation data supporting such navigation features is derived and stored in a disk-mastering operation as part of a manufacturing process where there  
40 are no real time processing constraints. In contrast, deriving such file structure and navigation data for home recording imposes a significant burden on a recording device.

5 A processing system, according to the invention, minimizes the burden of generating such file structure and navigation data by advantageously adaptively generating and processing data in distinct modes. These modes comprise, (a) pre-processing, (b) contemporaneous and (c) post-processing modes. The pre-processing mode precedes a program recording or format conversion operation. The  
10 contemporaneous mode occurs during a program recording or format conversion operation. Further, the pre-processing and contemporaneous modes may each involve creating pre-formed data fields for subsequent insertion of file structure and navigation parameters. The post-processing mode occurs after program recording or format conversion and involves inserting file structure or navigation parameters in the pre-  
15 formed data fields.

A processing system encodes a video program into a generic data format (as exemplified in Figure 1) that is compatible with read-only format (as exemplified in Figure 2) and recordable format video processing devices. The following discussion describes the generic data format of Figure 1 and read-only  
20 format of Figure 2. The subsequent discussion in connection with Figures 3A and 3B describes the processing of read-only DVD format data items of Figure 2 in pre-processing, contemporaneous and post-processing modes for incorporation in the generic DVD data format of Figure 1.

Figure 1 shows an exemplary top level generic DVD data format  
25 compatible with recordable and read-only DVD players. The highest level of the hierarchical generic data format comprises volume and file structure section 400 defining file locations and paths and section 403 for insertion of other volume or file structure information. The highest level also includes video zone 405 including program video content and program guide/menu content and other zone 407 for  
30 ancillary and other data. Video zone 405 comprises video manager 409 and video zone 411. Further video manager 409 is hierarchically comprised of navigation information 414 and data section 418 for insertion of navigation information and other data in section 420. Video Zone 411 is comprised of navigation information 422 and data section 424 for insertion of navigation information generated in post-processing mode  
35 and other data in section 427.

The file structure and navigation parameters incorporated within the generic format of Figure 1 support navigation through images in an MPEG compatible group of pictures (GOP) or within a DVD video object unit (VOBU). The parameters also support navigation within a single program or between different programs  
40 including audio programs, Internet web page data, text data, and program guides and between images of different MPEG elementary streams. Specifically, navigation parameters may include, for example, parameters identifying individual GOPs, or the

- 5 number of GOPs in a video object unit (VOBU), or image data location information. Further, such location information may include data, identifying the size of image representative data, or identifying MPEG reference frames in a VOB or GOP, or identifying image representative data start or end addresses. In addition, the navigation parameters may also include trick play mode selection information.
- 10 Figure 2 shows a read-only DVD hierarchical data format indicating location and structure of navigation information used in decoding and navigating through video program image sequences for different modes of operation. The highest level of the hierarchical read-only data format comprises, volume and file structure data 191, a video manager section (VMG) 200 and video title (program) sets (VTS)
- 15 203-205 including video program content. Each VTS is hierarchically associated with: video title set information VTSI 213 for one or more video programs (titles); program menu information 217; program content information 223 and back-up VTSI data 225. Further, program menu information 217 and program content information 223 are comprised of video objects (VOB) 260-262 and an individual VOB (e.g. VOB 260) is
- 20 comprised of cells 264-266. An individual cell, e.g. cell 264, represents program data of duration that is variable from 1 second to the length an entire movie, for example. Cell 264 is comprised of video object units (VOBU) 268-270 and each video object unit represents 0.4-1.2 seconds of video program play. Each individual video object unit (exemplified by units 272, 274-276) may include navigation pack information
- 25 together with video, audio and sub-picture data (comprising captions, and ancillary text, for example). An individual navigation pack includes headers, sub-stream\_ids, presentation control information (PCI) data, and data search information (DSI) as shown in units 280-294.

- The main menu of a DVD disc in video manager section (VMG) 200 is
- 30 optional. This main menu represents the first image a User sees on accessing a DVD disk. VMG 200 is hierarchically associated with video manager control data 207, disc menu 209 and video manager back-up information 210. Further, control data 207 comprises: information management table 230; title search pointer table 233; menu program chain information unit table 235; parental management information table 237;
- 35 video title set attribute table 239; text data manager 242; menu cell address table 244; and menu video object unit address map 246. Specifically, items 230-246 define size and start addresses of VMG information, attributes of video objects of the video manager menu, video program search information, menu language information, parental rating control information, duplicate video program attribute information, and
- 40 program identification names (e.g. volume, album or producer names). Further, title search pointer table 233 includes search information items 253, 255, 257 and 259 each specifying program type, program number, number of camera angles, parental rating

5 identifier and program start address, for example) for each program on a disk. Title search pointer table 233 also includes in item 248 parameters defining size and location of search pointer table 233 itself.

Figures 3A and 3B show a table listing read-only DVD format data structure items 650-716 (incorporated in the data structure of Figure 2) that are  
10 processed in pre-processing, contemporaneous and post-processing modes for incorporation in the generic DVD data format of Figure 1. Columns 633, 637 and 639 of Figures 3A and 3B indicate the nature of the processing action taken in conditioning data items 650-716 for inclusion in the generic data format of Figure 1. Column 640 of Figures 3A and 3B identifies the individual data elements of Figure 2 containing data  
15 items 650-716 and column 645 identifies the corresponding data elements of the generic format of Figure 1 designated to incorporate the processed data items 650-716. The pre-processing, contemporaneous and post-processing actions listed in Figures 3A and 3B are described as follows.

#### 1. Pre-processing Mode

20 Pre-processing mode involves processing information that is obtainable prior to program recording. As part of pre-processing, a disk may be checked for usability, a file structure may be created and an audio track (either newly created or pre-existing) may be incorporated into pre-processed data. Specifically, in pre-processing mode, Video manager main menu 650 is created, a menu language 652 (e.g. English, French,  
25 German etc. as used in menus, text, etc.) is selected and program chain menu information 655 (i.e. information linking menu segments) is generated. Further, the number of video objects 657 in a video object set for a video manager menu is limited to 1 and both the size of the video object and its associated address are pre-defined. Content rating 659 (used for Parental management) is optional but may similarly be  
30 determined before recording. Further, in order to create a new video program (title) set menu (i.e. a menu of programs available on the disc), a standard pre-formed menu is used including title set menu cell address table 711 and video title set menu video object unit address map 713. In addition, other items may be optionally created during pre-processing mode including, information management table 679, text management  
35 information 689, menu cell address table 691 and video object unit address table 697.

The pre-processing of data in the manner described herein advantageously simplifies and expedites the generation and processing of file structure and navigation data of different data format. The pre-processing and pre-forming of data elements prior to program recording or format conversion reduces the processing  
40 burden otherwise involved in performing these operations during recording or in post-processing. Such pre-processing may involve counting the number of individual I, P and B frames in an MPEG compatible GOP or in a VOB, for example. Further, some



5 pre-formed data elements are advantageously updated with new data during recording or post-processing mode. Other pre-formed data elements may be advantageously subsequently modified to accommodate newly available functions or features. For example, a menu may be generated before recording in the form of a stored bit map or may be embedded within software (e.g. in HTML). In one version, such a menu may  
10 be pre-formed to contain visible and invisible buttons. The invisible buttons are associated with inactive commands (e.g. no-operation NOP commands). A pre-formed menu containing invisible buttons is retrieved from memory and incorporated into video program data during program recording or to expand decoder features. The invisible menu buttons are subsequently activated by rendering the buttons visible and  
15 by replacing the dummy commands with active commands in response to a request to add a feature, for example. Figure 10 shows an example of activation of buttons in a pre-formed menu. Figure 10(a) shows the standard menu with no visible buttons and Figures 10(b) and 10(c) show the progressive activation of a single button and six buttons respectively. This capability supports the addition of a program (and an  
20 associated access pointer) that needs to be selectable by a User through a menu and supports other added User functions. In another version, a menu may be pre-formed to contain visible buttons associated with inactive commands and the menu may be subsequently activated by replacing the inactive commands with active commands. Similarly, the pre-processing described herein may advantageously be used to create  
25 whole new menus or an individual command item within an existing menu to flexibly accommodate data format conversion or new feature addition.

2. Contemporaneous mode (occurring during program recording).  
Contemporaneous mode comprises processing that occurs during a program recording  
30 or format conversion operation. In contemporaneous mode, control indicators are updated upon addition of a new program (title) to a disk containing recorded program data. Specifically, the update of control indicators involves setting data type indicator 667 to zero and incrementing both the number of the video program set 663 and the number of title search pointers 665. Navigation information 669, 672 of unknown  
35 destination (e.g. involving commands such as link, jump, call instructions etc.) are recorded as dummy commands and updated in post-processing. Also, for a new video program set, a search pointer 693 and video attributes 695 are recorded with dummy data for update in post-processing.

In recording a new video program set, information items 701-716 are  
40 recorded and dummy data is incorporated for subsequent update in post-processing. In recording a new program, a program start address is added to management table 701 and a pointer is incorporated in pointer table 703. Further, program chain table 705

- 5 and unit table 707 are updated to include linking data for segments of the added program. Similarly, in time map table 709 containing program address links permitting random access for trick play and other navigation modes, time map link addresses may be updated, an associated index number is incremented and a search pointer is added. Unknown data is recorded as dummy data for update in post-processing. A new menu button permitting User selection of the added program is added in the video program set menu 650. In addition, associated navigation items are updated including menu program chain information 655 and navigation command data 669. The number of menu video objects 657 may also be updated to accommodate the new menu button if necessary.
- 15 In recording a new video object, new video title set cell information 711 is added, and the number of video objects and unknown data are recorded as dummy data. Program chain information 705 and menu program chain information unit table 707 are updated to reflect the new changed number of programs and cells. Note, items 705 and 707 contain data related to program control for a video tile set and its
- 20 associated menu. Navigation commands (NextPGC, PreviousPGC, GoUp PGC, PG playback mode, and Still Time Value) are recorded as dummy commands (NOP) if the navigation destination is unknown at this stage. For a new cell, a cell number entry is added in the video title cell address table 716. In addition, video object units are stored in memory as complete units and are parsed prior to recording to determine video
- 25 object unit level navigation information. Forward address links of sequentially linked video object units are unknown and are recorded as dummy data for update during post-processing.
3. Post-processing mode.
- 30 The post-processing mode occurs after program recording and involves updating dummy data in the file structure and navigation parameter data fields formed in the pre-processing and contemporaneous modes. Specifically, upon data format conversion, data type indicator 667 is changed from zero to one and existing video manager menu 650 may be replaced with a new version. Further, additional languages
- 35 652 are added if desired, and menu program chain information 655 and the number of video objects in the video manager menu 657 are updated with correct values. Similarly, content rating (Parental management) information 659 and text management information 689 are added or updated in this mode. The dummy data included for attribute search pointer 693 and for video program attributes 695 is replaced with
- 40 correct information including a valid video program set attribute end address. Other data items 669-716 may similarly be updated or corrected in this mode if required.

5 Figure 4 shows an exemplary Title Search Pointer Data element of the generic DVD data format (Figure 1) for inclusion of data type alteration information or information defining format type. The search pointer item 440 (Title playback type) is used to indicate a data format change from read-only to generic data format. However other definable parameters may also be used for indicating data format alteration or for  
10 defining a format type. Data items 442-452 provide camera angle, title, parental-id, and title set identifier parameters for use in decoding.

Figures 5 and 6 show a time based data structure of recorded data in read-only format. Figure 5 depicts an exemplary recorded read-only format Video Object Unit (VOBU) including navigation, video, audio and sub-picture data and  
15 sector addresses. Figure 6 depicts an Error Correction Coded (ECC) block 460 within a Video Object Unit (VOBU).

Figure 7 shows a flowchart for a method for providing volume/file structure and navigation data compatible with different data formats. In step 303 following the start at step 300, encoded packetized video data representing a video  
20 program is parsed to determine volume/file structure and navigation parameters for use in navigating through a sequence of video images. The parameters may support navigation through images in normal or trick play (e.g. fast forward, reverse, freeze frame etc.). However, the file structure and navigation parameters may also be derived and processed as part of a data format conversion process. The parameters determined  
25 in step 303 are formed into a predetermined structure in step 305 and the parameters, as structured, are incorporated into preformed file structure and navigation data fields in packetized video program representative data in step 310. In step 315, an indicator is incorporated into the packetized data to indicate that the file structure and navigation parameters have been inserted and the packetized data, as formed, is output  
30 in step 320. The process terminates at step 325.

Figure 8 shows a flowchart for a method for converting image representative digital video data in a read only data format to a different generic format compatible with read-only and recordable format players. In step 359 following the start at step 350, a user conversion selection input 357 is processed to determine  
35 whether an individual program or an entire disk containing one or more programs is to be converted from read-only to generic data format. If conversion of an individual program or an entire disk has been selected, steps 361 and 363 determine respectively whether a conversion indicator has already been set. If the indicator is set, the conversion has already been performed and the process terminates at either step 355 or  
40 step 365. If the indicator shows the conversion has not been previously performed, the process for program or disk conversion continues in step 367. Step 367 performs the pre-processing, contemporaneous and post-processing functions for the conversion of

- 5 read-only file structure, navigation and other data (of Figure 2) to the generic DVD data format (of Figure 1) as previously described in connection with Figures 3A and 3B.

In the case of conversion of a single program or an entire disk, data is advantageously processed in Error Correction Coded (ECC) block units to simplify  
10 processing. In other embodiments, processing may be performed on the basis of different sized data involving correspondingly different memory capacities for the conversion processing. In step 367, ECC blocks in a video object unit (VOBU) are individually processed and this is repeated for each of the VOBUs in the program. Individual ECC blocks of a program are retrieved from storage and parsed to generate  
15 generic format volume, file structure and navigation parameters for incorporation in generic format data fields. Further, in step 367, the pre-processed generic format data is re-stored back to the original disk, either to overwrite the original stored program, or to occupy a different storage area (on the original disk or on a different disk). During the re-storage of the generic format program, selected file structure and  
20 navigation parameters are generated or updated as described previously in connection with the contemporaneous mode processing of Figures 3A and 3B. In the post-processing function of step 367, the re-stored generic format data produced during the contemporaneous mode is read and parsed to generate consistent file structure and navigation parameters (including addresses, data sizes, location and pointer  
25 information as described in connection with Figures 3A and 3B). The resultant consistent file structure and navigation parameters are inserted (e.g. by overwriting existing parameters) into their respective generic format data fields in the re-stored generic format program. The data format conversion process of step 367 is completed by updating the data type indicator (e.g. indicator 667 of Figures 3A and 3B) to reflect  
30 a format change and the process of Figure 8 terminates at step 373. In converting an entire disk of programs or a plurality of selected programs on a disk, the process described in step 367 is repeated for each of the requisite selected programs.

Figure 9 shows a video decoder and recorder system for adaptively generating and processing volume/file structure and navigation data for different data  
35 formats and for converting data between the formats. In block 10 a deck is shown for accommodating disk 14 for rotation by motor 12. A digital signal representing a program is recorded on (and subsequently read from) disk 14 as a spiral track containing pits with respective pit lengths determined by modulation coding responsive to respective signal data bits. In read mode, the program on disk 14 is read by pick up  
40 15 which gathers reflected illumination from a laser. The reflected laser light is collected by a photo detector or opto pick-up device. An imaging device, for example a lens or mirror, which form part of transducer 15, is servo controlled and driven by

5 motor 11 to follow the recorded track. Different parts of the recording may be accessed by rapidly repositioning the imaging device. Servo controlled motors 11 and 12 are driven by integrated circuit drive amplifier 20. Integrated circuit 50 provides drive and control signals for amplifier 20 and servo motors 11 and 12 under direction of controller 510. Transducer 15 is coupled to an opto preamplifier, block 30, which  
10 includes drive circuitry for the laser illuminator and a preamplifier which provides amplification and equalization for the reflected signal output from opto transducer device 15. The amplified and equalized replay signal from opto preamplifier 30 is connected to a channel processor block 40 where the replay signal is demodulated following synchronization with a phase locked loop. In record mode, unit 40 encodes,  
15 modulates and processes an input digital signal from multiplexer 53 for storage on disk 14 as laser etched pits formed using laser control unit 30 and transducer 15 with respective pit lengths determined by modulation coding representing signal data bits.

In read mode, the digital video disk player is controlled by a central processing unit (CPU) 510 of block 500 in conjunction with memory 80. Unit 510  
20 processes the reproduced bitstream and error flags from channel IC 40 to provide separate video, audio, sub-picture and system control data for output to other units and for internal use. Controller 510 provides MPEG encoded video and audio data to decoder 530 and audio decoder 110 respectively. In addition CPU 510 receives user control commands from user interface 90, and MPEG decoder control functions from  
25 the MPEG decoder element 530 of block 500. MPEG decoder 530 uses memory 60 in decoding MPEG encoded video data from unit 510 using variable length coding, discrete cosine transform and quantization functions. Following MPEG or AC3 audio decoding by unit 110, a digitized audio signal results which is coupled to an audio post processor 130 for digital to analog conversion and generation of various base band  
30 audio signal outputs. Also, following MPEG video decoding, a digital video output signal from unit 530 is transformed into raster scan format and processed by encoder 590 which provides digital to analog signal conversion and generates baseband video components and encoded video signals for output to a reproduction device.

In record mode, input video and audio datastreams are digitized by  
35 analog to digital converters 551 and 541 respectively. The resultant digital video and audio datastreams are MPEG encoded by video encoder 533 and either MPEG or AC3 encoded by audio encoder 113 under direction of controller 513. The functions of controller 513 may, in other embodiments, be encompassed within the functions performed by unit 510. Unit 33 generates sub-picture data (e.g. text, captions, menus  
40 etc.) and unit 43 generates file structure and navigation data compatible with the generic format of Figure 1 under direction of controller 513. The generated sub-picture, file structure and navigation data is incorporated with video and audio

- 5 encoded data from units 533 and 113 respectively via multiplexers 53 and 63. For this purpose, multiplexer 53 and 63 are controlled by unit 513 in coordination with re-synchronized input timing data provided by unit 73. The encoded multiplexed data from multiplexer 53 is modulated and channel coded and stored on disk 14 via units 40, 30 and 10.
- 10         Controllers 510 and 513 control the elements of the record/replay system of Figure 9 to convert a program stored on disc 14 in read-only format (exemplified in Figure 2) to generic format (exemplified in Figure 1). For this purpose, controllers 510 and 513 acquire, parse and collate read-only data from disk 14 and re-format this data into the generic data format (of Figure 1) for re-storage back to disk
- 15 14. Units 510 and 513 achieve this by controlling the elements of the Figure 9 system in executing the processes of Figures 7 and 8 using the pre-processing, contemporaneous and post-processing functions described in connection with Figures 3A and 3B.
- The architecture of Figure 9 is not exclusive. Other architectures may
- 20 be derived in accordance with the principles of the invention to accomplish the same objectives. Further, the functions of the elements of the system of Figure 9 and the process steps of Figures 7 and 8 may be implemented in whole or in part within the programmed instructions of a microprocessor. The pre-processing, contemporaneous and post-processing mode and data conversion principles disclosed herein are
- 25 applicable to modify the file/navigation data structure or convert the data format of a wide variety of digital data transport structures. Such transport structures, for example, may include MPEG-PSI, JPEG, Internet TCP/IP (Transport Control Protocol/Internet Protocol), DSS (Digital Satellite System), ATM (Asynchronous Transfer Mode) etc. Further, the file/navigation data structure processing principles
- 30 disclosed here may be applied in other systems, such as in PCs, servers, set-top boxes, other video and audio decoders, HDTV devices and in other data processing devices.

5 What is claimed is:

1. A method for providing navigation information supporting navigation through different images of one or more video programs, comprising the steps of:
  - parsing encoded packetized data representative of a sequence of
  - 10 individual images to determine parameters to support navigation through said sequence of individual images;
  - formatting said determined parameters into a predetermined data structure; and
  - incorporating said determined parameters in said predetermined data
  - 15 structure into a pre-formed navigation data field.
2. A method according to claim 1, including the step of deriving said determined parameters comprising at least one of, (a) data identifying a group of pictures (GOP) format, (b) a number of GOPs in a video object
- 20 unit (VOBU), (c) data identifying reference frames in a VOB or GOP, (d) a start address of image representative data, (e) an end address of image representative data, (f) a parameter identifying size of image representative data, (g) trick play mode selection information and (h) file structure information.
- 25 3. A method according to claim 1, wherein said determined parameters in said navigation data field support navigation through images in at least one of, (a) a frame, (b) a group of pictures (GOP), (c) a video object unit (VOBU), (d) a program, (e) different programs and (f) video data of different MPEG compatible elementary streams.
- 30 4. A method according to claim 3, wherein said different programs comprise a video program and an associated program comprising one of, (i) audio data, (ii) Internet web page data, (iii) text data, and (iv) program guide data.

35

5

comprises

10

15

20

determined parameters.

25



- 5 11. A method for converting image representative digital video data in a first data format to a different second data format in response to initiation of data format conversion, comprising the steps of:
- generating navigation parameters to support navigation through a sequence of individual images by parsing encoded packetized data representative of a
- 10 sequence of individual images in said first data format;
- incorporating said navigation parameters into a navigation data field;
- and
- providing an output comprising packetized data representative of a sequence of individual images in said different second data format including said
- 15 navigation data field.
12. A method according to claim 11, wherein said step of incorporating said navigation parameters into said navigation data field comprises re-formatting an existing navigation data field with said navigation parameters.
- 20 13. A method according to claim 11, wherein
- said first data format comprises a read only data format and
- said second data format comprises a different recordable data format.
- 25 14. A method for converting image representative digital video data of a first data format to a different second data format in response to initiation of data format conversion, comprising the steps of:
- parsing encoded packetized data representative of a sequence of individual images in a first data format to derive parameters to support navigation
- 30 through said sequence of individual images;
- incorporating said derived parameters into a pre-formed navigation data field; and
- providing said encoded packetized data and said pre-formed navigation data field as an output in said second data format.
- 35 15. A method according to claim 14, wherein said derived parameters comprise at least one of, (a) data identifying a group of pictures (GOP) format, (b) a number of GOPs in a video object unit (VOBU), (c) data identifying reference frames in a VOB or GOP, (d) a start address of image representative data, (e) an end
- 40 address of image representative data, (f) a parameter identifying size of image representative data, (g) trick play mode selection information and (h) file structure information.

5

16. A method according to claim 15, wherein  
said derived parameters in said navigation data field support navigation  
through images in at least one of, (a) a frame, (b) a group of pictures (GOP), (c) a  
video object unit (VOBU), (d) a program, (e) different programs and (f) video data of  
10 different MPEG compatible elementary streams.

17. A method according to claim 16, wherein  
said different programs comprise a video program and an associated  
program comprising one of, (i) audio data, (ii) Internet web page data, (iii) text data,  
15 and (iv) program guide data.

18. A method according to claim 16, wherein  
said different programs comprise two different video programs.

20 19. A method according to claim 14, including the step of  
incorporating an indicator in a datastream including said encoded  
packetized data and said navigation data field to indicate data format conversion has  
been performed.

25 20. A method according to claim 14, wherein  
said pre-formed navigation data field comprises a header and a payload  
and said determined parameters are incorporated in said navigation data field payload.

30 21. A method according to claim 14, including the step of  
forming said pre-formed navigation data field to accommodate  
subsequent insertion of said determined parameters.

5                   22. A method for providing navigation information supporting navigation through different images of one or more video programs, comprising the steps of:

forming packetized data comprising,

10                   (a) encoded data representative of a sequence of individual images, and

                  (b) a navigation data field;

scanning said formed encoded image representative data to determine parameters to support navigation through said sequence of individual images; and

15                   incorporating said determined parameters in said formed navigation data field.

23. A method according to claim 22, including the step of

20                   deriving said determined parameters comprising at least one of, (a) data identifying a group of pictures (GOP) format, (b) a number of GOPs in a video object unit (VOBU), (c) data identifying reference frames in a VOB or GOP, (d) a start address of image representative data, (e) an end address of image representative data, (f) a parameter identifying size of image representative data, (g) trick play mode selection information and (h) file structure information.

25

A video processing system adaptively generates and processes volume/file structure and navigation data of different data format and converts data between different formats for decoding, recording and other applications. The system processes the volume/file structure and navigation data in distinct modes including (a) pre-processing, (b) contemporaneous and (c) post-processing modes. In addition, the system provides navigation information supporting navigation through different images of one or more video programs by parsing encoded packetized data representative of a sequence of individual images to determine parameters to support navigation through the sequence of individual images. The determined parameters are formatted into a predetermined data structure and incorporated into a pre-formed navigation data field.

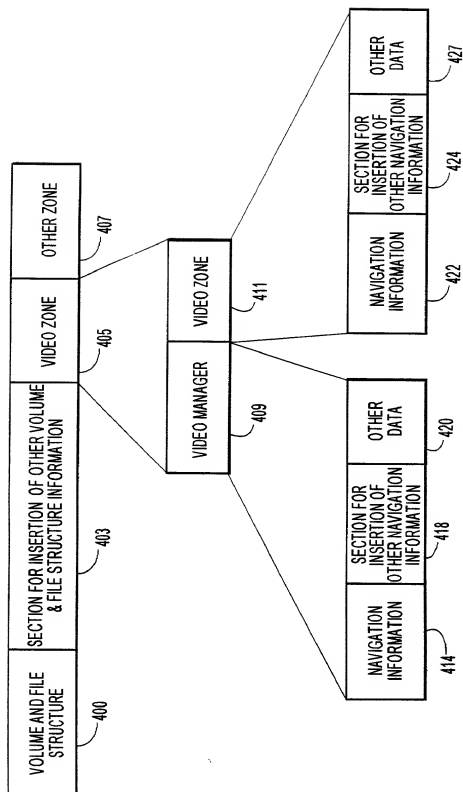


FIG. 1



PARAMETERS/TABLES/MAPS		633	637	639	640	645
		PRE- PROCESSING	DURING RECORDING	POST- PROCESSING	READ ONLY FORMAT DATA ITEM (FIGURE2)	GENERIC FORMAT DATA ITEM (FIGURE1)
650	MENU	Y	C	U	209, 217	409, 418
652	LANGUAGES	1	1	U	209, 217	409, 418
655	PROGRAM CHAIN INFORMATION IN MENU DOMAIN	Y	C	U	209, 217	409, 418
657	NUMBER OF VIDEO OBJECTS IN VIDEO TITLE SET MENU	1	1	U	217	424
659	CONTENT RATINGS	0	0	U	207	411-424
663	NUMBER OF VIDEO TITLE SET	0	0	U	200	409
665	NUMBER OF TITLE SEARCH POINTER		X	U	207	409, 418
667	BIT 7 OF THE BYTE TITLE PLAY BACK		X	U	207	409, 418
669	NAVIGATION COMMANDS IN MENU		ZERO	ONE	207	409, 418
672	NAVIGATION INFORMATION IN VIDEO OBJECT UNIT		R	U	209, 217	409, 418
675	FORWARD ADDRESSES IN VIDEO OBJECT UNIT		R	U	272	427
677	FILES IN FILE STRUCTURE			U	272	427
679	VIDEO MANAGER INFORMATION MANAGEMENT TABLE		0	U	191	403
682	TITLE SEARCH POINTER TABLE	0	0	U	207	409, 414, 418
686	VIDEO MANAGEMENT INFORMATION TABLE		R	U	207	409, 414, 418
688	TEXT DATA MANAGER	0	0	0		
691	VIDEO MANAGER MENU CELL ADDRESS TABLE	0	0	0	207	409, 414, 418
693	VIDEO TITLE SET ATTRIBUTE SEARCH POINTER	0	R	U	207	409, 414, 418
695	VIDEO TITLE SET ATTRIBUTE		R	U	207	409, 414, 418
697	VIDEO OBJECT UNIT ADDRESS TABLE	0	R	U	207, 213	409, 411

O: OPTIONAL, R: RECORD AND INSERT DUMMY DATA FOR UNKNOWN INFORMATION  
 X: INSERT THE CORRECT INFORMATION, THIS DATA IS TO BE CHECKED DURING UPDATING.  
 C: COPY EXISTING DATA ONTO DISC. MULTIPLE: COULD BE MORE THAN 1.  
 U: UPDATE, AND REPLACE DUMMY DATA WITH RIGHT INFORMATION  
 Y: INFORMATION IS KNOWN BUT MAY ALSO BE UPDATED LATER

FIG. 3A

PARAMETERS/TABLES/MAPS		633	637	639	640	645
		PRE- PROCESSING	DURING RECORDING	POST- PROCESSING	READ ONLY FORMAT DATA ITEM (FIGURE2)	GENERIC FORMAT DATA ITEM (FIGURE1)
701	VIDEO TITLE SET INFORMATION MANAGEMENT TABLE					
703	PART OF TITLE IN VIDEO TITLE SET SEARCH POINTER TABLE		R	U	213	411, 422, 424
705	PROGRAM CHAIN INFORMATION TABLE IN VIDEO TITLE SET		R	U	213	411, 422, 424
707	VIDEO TITLE SET MENU PROGRAM CHAIN INFORMATION UNIT TABLE		R	U	213	411, 422, 424
709	VIDEO TITLE SET TIME MAP TABLE		R	U	213	411, 422, 424
711	VIDEO TITLE SET MENU CELL ADDRESS TABLE	Y	O	O	213	411, 422, 424
713	VIDEO TITLE SET MENU VIDEO OBJECT UNIT ADDRESS MAP	Y	C	U	213	411, 422, 424
716	VIDEO TITLE SET MENU VIDEO OBJECT UNIT ADDRESS MAP		C	U	213	411, 422, 424
			R	U	213	411, 422, 424

O: OPTIONAL    R: RECORD AND INSERT DUMMY DATA FOR UNKNOWN INFORMATION    U: UPDATE, AND REPLACE DUMMY DATA WITH RIGHT INFORMATION  
 X: INSERT THE CORRECT INFORMATION, THIS DATA IS TO BE CHECKED DURING UPDATING.    Y: INFORMATION IS KNOWN BUT MAY ALSO BE UPDATED LATER  
 C: COPY EXISTING DATA ONTO DISC, MULTIPLE: COULD BE MORE THAN 1.

FIG. 3B



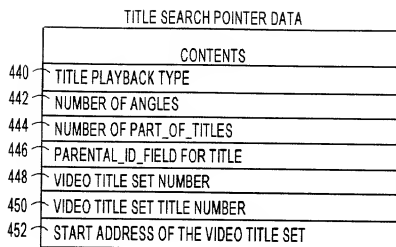


FIG. 4

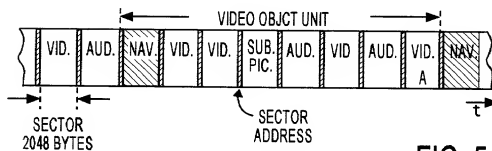


FIG. 5

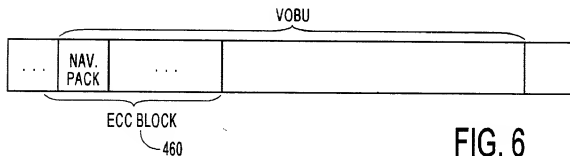


FIG. 6

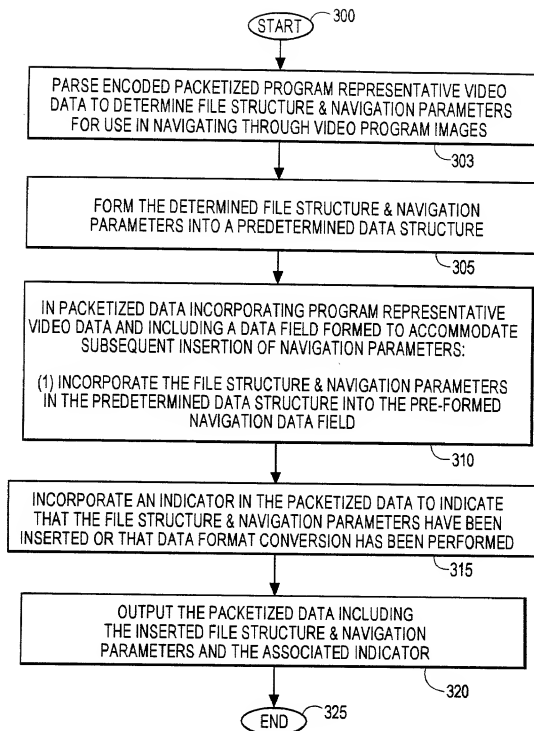


FIG. 7

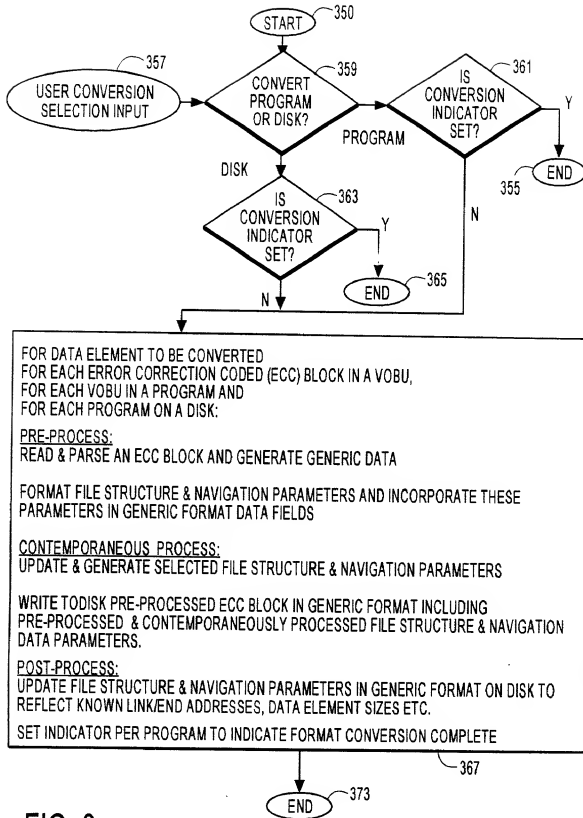
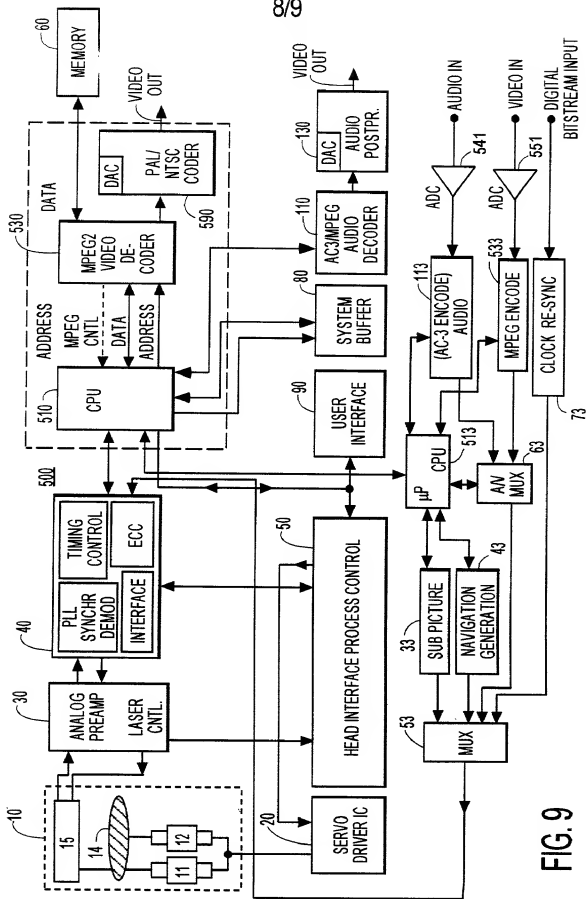



FIG. 8

600280' 6998260



## MENU

1  MENU

**MENU**







1		2	
3		4	
5		6	

FIG.10

## DECLARATION AND POWERS OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**A DIGITAL VIDEO PROCESSING AND STORAGE SYSTEM FOR VIDEO, AUDIO AND ANCILLARY DATA**  
 the specification of which was filed on \_\_\_\_\_, or, if not identified here by filing date and serial number, is attached hereto.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate by me or my representatives or assigns for this invention having a filing date before that of the application on which priority is claimed.

Application No. \_\_\_\_\_ in \_\_\_\_\_ on \_\_\_\_\_ priority claimed ☐ Yes ☐ NoApplication No. \_\_\_\_\_ in \_\_\_\_\_ on \_\_\_\_\_ priority claimed ☐ Yes ☐ NoApplication No. \_\_\_\_\_ in \_\_\_\_\_ on \_\_\_\_\_ priority claimed ☐ Yes ☐ No

I hereby claim the benefit under 35 USC 119(e) of any United States provisional application(s) as listed below.

Application No. \_\_\_\_\_ Filed \_\_\_\_\_

Application No. \_\_\_\_\_ Filed \_\_\_\_\_

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose material information as defined in 37 CFR 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Serial No. \_\_\_\_\_ Filed \_\_\_\_\_ ☐ patented ☐ pending ☐ abandonedSerial No. \_\_\_\_\_ Filed \_\_\_\_\_ ☐ patented ☐ pending ☐ abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint, individually and collectively, the following as my/our attorney or agent with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith:

Joseph S. Tripoli	Registration No. 26,040	and
Eric P. Herrmann	Registration No. 29,169	and
Alexander J. Burke	Registration No. 40,425	and
	Registration No. _____	

PLEASE ADDRESS ALL

COMMUNICATIONS TO: JOSEPH S. TRIPOLI

PATENT OPERATIONS - THOMSON MULTIMEDIA

LICENSING INC.

PO Box 5312

PRINCETON, NEW JERSEY 08543-5312

Sole or Joint Inventor (1)	SHU LIN (Type or Print)	(Signature in Full, No initials.)
Citizenship	P.R. China	Date 8/11/99
Post Office Address	101 West 103 <sup>rd</sup> Street, Indianapolis, Indiana 46290-1102 U.S.A.	
Residence	101 West 103 <sup>rd</sup> Street, Indianapolis, Marion, Indiana 46290-1102 U.S.A.	
Sole or Joint Inventor (2)	DONALD HENRY WILLIS (Type or Print)	(Signature in Full, No initials.)
Citizenship	USA	Date 8/17/99
Post Office Address	5175 East 74 <sup>th</sup> Place, Indianapolis, Indiana 46250 U.S.A.	
Residence	5175 East 74 <sup>th</sup> Place, Indianapolis, Marion, Indiana 46250 U.S.A.	
Sole or Joint Inventor (3)	MARK ALAN SCHULTZ (Type or Print)	(Signature in Full, No initials.)
Citizenship	USA	Date 8-11-99
Post Office Address	4437 Somerset Way South, Carmel, Indiana 46033 U.S.A.	
Residence	4437 Somerset Way South, Carmel, Hamilton, Indiana 46033 U.S.A.	

Express Mail Label EL278372742US